STATE OF RHODE ISLAND

RHODE ISLAND ETHICS COMMISSION OPTION "D"

PROPOSED REGULATION 36-14-2003

1		Regulation 36-14-2003 – Additional Definitions (see R.I. Gen. Laws § 36-14-2)
2	(1)	"Collective bargaining unit" means a group of employees organized to negotiate with
3		an employer to determine the conditions of employment such as wages, hours and
4		other benefits.
5	(2)	"Duly-authorized representative of a collective bargaining unit or labor organization"
6		means any officer, agent, official or other representative empowered to act on behalf
7		of the unit or organization or its individual dues-paying members.
8	(3)	"Labor organization" means a local, statewide, regional or national organization
9		composed of employees in which employees participate and pay dues and which has
0		as one of its purposes dealing with employers concerning grievances and conditions
1		of employment.
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4		CONCISE SUMMARY OF PROPOSED REGULATION
15		This regulation defines terms not elsewhere defined in the Code of Ethics that are
6		utilized in the amended Regulation 36-14-5002.

STATE OF RHODE ISLAND

RHODE ISLAND ETHICS COMMISSION OPTION "D"

PROPOSED AMENDED REGULATION 36-14-5002

1		Regulation 36-14-5002 – Additional circumstances warranting recusal.
2		A person subject to this Code of Ethics must also recuse himself or herself from
3		participation and notify, in writing, his [or her] board/agency of an interest in
4		accordance with R.I. Gen. Laws § 36-14-6 when any of the following circumstances
5		arises:
6	1.	His or her spouse (if not estranged) or dependent child Any person within his or her
7		family appears before his or her board/agency, board, commission, or entity.
8	2.	His or her business associate, spouse (if not estranged) or dependent child authorizes
9		another person to act as attorney at law or attorney in fact and that authorized
10		individual appears before his or her board/agency representing his or her business
11		associate, spouse (if not estranged) or dependent child. or employer appears before
12		his or her agency, board, commission, or entity.
13	3.	His or her employer, or the interests of his or her employer appear before his or her
14		board/agency. family member, business associate, or employer authorizes
15		another person to participate in the presentation of evidence or arguments before the
16		agency, board, commission or entity of which the person subject to the Code is a
17	-	member.
18	4.	A duly-authorized representative of a collective bargaining unit or other labor
19		organization of which the person subject to the Code is a dues-paying member
20		appears before the agency, board, commission or entity of which the person
21		subject to the Code is a member.
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25	CONCISE SUMMARY OF PROPOSED AMENDMENT
23	CONCISE SUMMARY OF PROPOSED AMENDMENT
26	This amendment updates the language of the existing regulation to align with the
27	more recently adopted Regulations 36-14-5004 and 36-14-5016 and adds an
28	additional requirement of recusal when a duly-authorized representative of a union
29	the person subject to the Code is a member of appears before that person's agency.

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